

Monex Securities Schedule of Fees and Charges



Monex Brokerage Fees

Markets	Brokerage Fee *1	Settlement Currency *2
United States New York Stock Exchange (NYSE) NASDAQ	Free *	USD
Australia *3 Australian Stock Exchange (ASX)	0.132% (Min AUD 21.95)	AUD
Japan Tokyo Stock Exchange (TSE)	0.10% (Min JPY 2,000)	JPY
Hong Kong Hong Kong Stock Exchange (HKEX)	0.10% (Min HKD 88 or USD 12 or RMB 130) Warrants/CBBCs Trading: 0.10% (Min HKD 88)	HKD or USD or RMB
China Shanghai Stock Exchange (SSE) Shenzhen Stock Exchange (SZSE) (via Hong Kong Stock Connect)	0.10% (Min RMB 88)	RMB

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China B Shanghai Stock Exchange (SSE)	0.25% (Min USD 10)	USD
China B Shenzhen Stock Exchange (SZSE)	0.25% (Min HKD 80)	HKD
Taiwan Taiwan Stock Exchange (TWSE)	0.20% (Min NTD 400)	USD
Korea Korea Exchange (KRX) including KOSDAQ	0.30% (Min KRW 15,000)	USD
Indonesia Indonesia Stock Exchange (IDX)	0.25% (Min IDR 200,000)	USD
Malaysia Bursa Malaysia (MYX)	0.25% (Min MYR 80)	USD
Philippines Philippines Stock Exchange (PSE)	0.30% (Min PHP 500)	USD
Singapore Singapore Exchange (SGX)	0.25% (Min SGD 25 or HKD 120 or USD 18)	SGD

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Thailand Stock Exchange of Thailand (SET)	0.30% (Min THB 300)	USD
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* Brokerage fees may be changed from time to time, for details please refer to our Free Brokerage Promotion Terms and Conditions.

1. Commission is charged on each order executed on the same day basis. For all U.S. trades, any price and/or quantity change for partially filled order will be treated as a new order and charged accordingly.
2. When trading securities that settle in a currency other than its trading currency, you should be aware of the currency risk involved (including but not limited to exchange rate fluctuations) and the foreign exchange costs associated.
3. GST included where applicable.

Monex Account Service Fees

Account Set-up and Maintenance	
Account Opening Fee	Free
Initial Deposit *1	Minimum deposit amount is AUD 200
Minimum Balance	No minimum requirement on stock holding or cash
Minimum Activity	No minimum requirement on number of trades or commission generated

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Inactivity Fee	None. All Inactivity Fees for Monex Securities Australia Pty Ltd were abolished in May 2018. Note: If an account holds no open positions and remains inactive for more than six (6) years, we may transfer the funds in the account to NSW Revenue as unclaimed money.
Custody Service	Free (except for Taiwan, Malaysia and SH-HK Connect and SZ-HK Connect stock holdings)
Remittance Services	
Funds Deposit	Free - All bank charges will be borne by client.
Funds Withdrawal	Free - All bank charges will be borne by client.
Statement Services	
Execution Confirmation	Free - Execution confirmation will be sent by email. All the contracts for the day will be grouped in a Daily Activity Statement, which also serves as the execution confirmations and contract notes for all activities on that day.
Account Statements	Free - Apply to sending Daily / Month Activity Statements by email. e-Statements within 12 months can also be downloaded online.
Statement Re-issue	AUD 10.00 per Statement *Apply to re-issue of historical Daily / Monthly Activity Statements over 12 months old.

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Stock Balance or Account Confirmation	AUD 10.00 per Request
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1. GST applies for AU residents on any transaction fees related to deposit and any other service fees

Depository Services

Markets	Stock Custody	Stock Deposit	Stock Transfer Out *1	Other Fees
United States New York Stock Exchange (NYSE) NASDAQ	Free	From USD 50 per stock	2% (Min USD 2,000)	ADR Depository Agent Fee: USD 0.03 per share per year
Australia Australian Stock Exchange (ASX)	Free	From AUD 50 per stock	2% (Min USD 2,000)	Any other fees will be passed through at cost
Japan Tokyo Stock Exchange (TSE)	Free	From JPY 4,000 per stock	2% (Min USD 2,000)	
Hong Kong Hong Kong Stock Exchange (HKEX)	Free	From HKD 600 per stock	2% (Min USD 2,000)	Compulsory Share Buy-Back Fee: At Cost

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China Shanghai Stock Exchange (SSE) Shenzhen Stock Exchange (SZSE) (via Hong Kong Stock Connect)	0.018% p.a. of total market value on daily basis, monthly aggregate	From CNY 250 per stock	2% (Min USD 2,000)	
China B Shanghai Stock Exchange (SSE) Shenzhen Stock Exchange (SZSE)	Free			
Taiwan Taiwan Stock Exchange (TWSE)	0.12% p.a. (pay monthly based on the month end portfolio value)			
Korea Korea Exchange (KRX) including KOSDAQ	Free			
Indonesia Indonesia Stock Exchange (IDX)	Free	From IDR 500,000 per stock	2% (Min USD 2,000)	
Malaysia Bursa Malaysia (MYX)	USD 3.00 per stock per month	From MYR 200 per stock		

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Philippines Philippines Stock Exchange (PSE)	Free	From PHP 2,000 per stock	2% (Min USD 2,000)	
Singapore Singapore Exchange (SGX)	Free	From SGD 50 per stock	2% (Min USD 2,000)	
Thailand Stock Exchange of Thailand (SET)	Free	From THB 1,400 per stock	2% (Min USD 2,000)	

1. The stock transfer out fee is the greater of USD 2,000 and the USD equivalent of 2% of the stock value calculated at the time when Monex AU processes the transfer request by using the market price at the end of previous trading day.
- GST Applies to AU residents for all service fees in relation to the Australian market only
 - GST will not apply for Non-AU residents

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Nominee Services

Markets	Dividend Collection (Cash & Scrip)	Dividend withholding Tax *1	Other Corporate Actions
United States New York Stock Exchange (NYSE) NASDAQ	USD 4.00	30%	Tender Fee: USD 60 (voluntary) or USD 30 (mandatory)
Australia Australian Stock Exchange (ASX)	AUD 7.00	30%	Any other fees will be passed through at cost
Japan Tokyo Stock Exchange (TSE)	JPY 800	15.315%	
Hong Kong Hong Kong Stock Exchange (HKEX)	0.60% (Min HKD 50 / RMB 50 / USD 20 / GBP 12)	NIL	Please refer to separate table on the following page
China Shanghai Stock Exchange (SSE) Shenzhen Stock Exchange (SZSE) (via Hong Kong Stock Connect)	0.60% (Min RMB 50)	10%	Any Offers/Issues and Sub/Auto Exercise of Rights/Warrants: RMB 100

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China B Shanghai Stock Exchange (SSE)	USD 8.00	10%	
China B Shenzhen Stock Exchange (SZSE)	HKD 80	10%	
Taiwan Taiwan Stock Exchange (TWSE)	NTD 250	20%	Bonus Share: NTD 1,500
Korea Korea Exchange (KRX) including KOSDAQ	KRW 8,000	22%	
Indonesia Indonesia Stock Exchange (IDX)	IDR 75,000	20%	
Malaysia Bursa Malaysia (MYX)	1.35% (Min MYR 30)	25%	
Philippines Philippines Stock Exchange (PSE)	Handling Fee: PHP 400 Registration Fee: PHP 1,200	30%	Bonus Share: PHP 1,000 (Registration Fee)
Singapore Singapore Exchange (SGX)	1.35% (Min SGD 12)	20%	

Monex Securities Schedule of Fees and Charges



Thailand Stock Exchange of Thailand (SET)	1.35% (Min THB 350)	10%	
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- Dividend withholding tax is charged by the respective local government at the rate applicable to our Custodian, Monex BOOM Securities Ltd, in Hong Kong. This may not be the rate at which withholding tax would normally be applied for a resident of your country. You should seek your own professional tax advice to determine the impact that this may have on you. The rates listed are for reference only.
 - GST Applies to AU residents for all service fees in relation to the Australian market only
 - GST will not apply for Non-AU residents

Other Corporate Actions – Hong Kong Markets

Details	Handling Fees	Other Pass-on Charges
Book Close Fee		CCASS Scrip Fee: HKD 1.50 per board lot
Bonus Share	Free	
Rights Issue Entitlement	Free	
Excess Rights Application	Free	
Subscription of Rights & Warrants	HKD 150	CCASS Fee: HKD 0.80 per board lot
Auto-exercise of Warrants	HKD 150	CCASS Fee: HKD 0.80 per board lot

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Open Offer	HKD 150	CCASS Fee: HKD 0.80 per board lot
Cash Offer	HKD 150	CCASS Fee: HKD 0.80 per board lot Stamp Duty: HKD 1.00 per HKD 1,000 (if any)
Share Consolidation / Split	Free	
Foreign Shares CCASS Custody Fee		CCASS Fee: HKD 0.25 per 100 shares per month
ibond Custodian Fee	Free	
ibond Interest Collection Fee	Free	
ibond Redemption Fee	Free	
Change of Registration at Share Registrar	HKD 800 per stock per Registration	At cost

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Other Associated Costs

Markets	Other Costs
United States New York Stock Exchange (NYSE) NASDAQ	For SELL Order only Sales Tax: 0.00207% Trading Fee: USD 0.000119 USD per share For BUY Order on French and Italian ADR only Financial Tax: 0.20%
Australia Australian Stock Exchange (ASX)	nil
Japan Tokyo Stock Exchange (TSE)	nil
Hong Kong Hong Kong Stock Exchange (HKEX)	Stamp Duty *1: HKD 1.00 per HKD 1,000 gross amount Levy: 0.0027% Trading Fee: 0.0050% CCASS Fee: 0.01% (Min HKD 3.00 or USD 0.38 or RMB 3.00 per execution)
China Shanghai Stock Exchange (SSE) Shenzhen Stock Exchange (SZSE) (via Hong Kong Stock Connect)	Handling Fee: 0.00487% Securities Management Fee: 0.002% Transfer Fee: 0.004% (on gross amount) Stamp Duty: 0.10% (For SELL order only)

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China B Shanghai Stock Exchange (SSE)	Service Charge: 0.15% (Min USD 35) Clearing Fee: 0.05% Levy: 0.00487% CSRC Fee: 0.002% Stamp Duty: 0.10% (For SELL order only)
China B Shenzhen Stock Exchange (SZSE)	Service Charge: 0.15% (Min USD 35) Clearing Fee: 0.05% (Max HKD 500) Levy: 0.00687% Stamp Duty: 0.10% (For SELL order only)
Taiwan Taiwan Stock Exchange (TWSE)	Transaction Fee: NTD 1,500 Remittance Charge: NTD 800 Stamp Duty: 0.30% (For SELL order only)
Korea Korea Exchange (KRX) including KOSDAQ	Remittance Charge: KRW 30,000 Sales Tax: 0.25% for Board Lot; 0.50% for Odd Lot (For SELL order only)
Indonesia Indonesia Stock Exchange (IDX)	Levy: 0.043% V.A.T.: 10% on commission Remittance Charge: IDR 200,000 Sales Tax: 0.10% (For SELL order only)
Malaysia Bursa Malaysia (MYX)	Stamp Duty: 0.10% (rounded up to nearest dollar, max MYR 200) Clearing Fee: 0.03% (Max MYR 1,000) Remittance Charge: MYR 75 Service Tax: 6% on Brokerage Fee Charged
Philippines Philippines Stock Exchange (PSE)	V.A.T.: 12% on commission SCCP Fee: 0.01% Remittance Charge: PHP 1,000

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	Registration fee: PHP 1,000 Sales Tax: 0.60% (For SELL order only)
Singapore Singapore Exchange (SGX)	Trading fee: 0.0075% Clearing Fee: 0.0325% Remittance Charge: SGD 0 or USD 20 or HKD 0 Settlement Fee: SGD0.35 per contract
Thailand Stock Exchange of Thailand (SET)	V.A.T.: 0.014% on gross amount Remittance Charge: THB 1,000

- Where a transaction on HKEX is subject to Stamp Duty the rate will be 0.10% of the gross amount, rounded up to the nearest Hong Kong dollar.
- To enable purchase of US OTC stocks, please contact us on services@monexsecurities.com.au and agree the following disclosure:
 - Sale restriction on illiquid OTC stocks. If you are trying to trade an OTC stock, your order might be rejected (i.e. not accepted) if the quantity exceed certain percentage of the daily average volume of that stock. If you are buying a stock with low trading volume, it may be difficult or impossible to sell them.
 - Non-DTC eligible. Some stocks are not eligible for DTC (electronic) clearing, and extra clearing fee would be involved. We cannot guarantee the stock in question is DTC eligible or not. It is possible that after you purchase a stock, it may become non-DTC eligible later on (i.e. you have to bear the non-DTC clearing fee if you sell it). Non-DTC clearing fee is currently USD \$400.

Any other fees will be passed through at cost.

Dated: 1st June 2021 (version 4.0)

Monex 证券收费明细



Monex 股票佣金

市场	经纪人佣金 *1	结算币种 *2
美国 New York Stock Exchange (NYSE) NASDAQ	免佣金 *	美元
澳大利亚 *3 Australian Stock Exchange (ASX)	0.132% (最低佣金 21.95 澳元)	澳元
日本 Tokyo Stock Exchange (TSE)	0.10% (最低佣金 2,000 日元)	日元
香港 Hong Kong Stock Exchange (HKEX)	0.10% (最低为 88 港元或 12 美元 或 130 元人民币) 认股权证/牛熊证交易: 0.10% (最低为 88 港币)	港币、美元或人民币

Monex 证券收费明细



中国 沪港通 深港通	0.10% (最低佣金 88 元人民币)	人民币
中国 B 股 (上海) Shanghai Stock Exchange (SSE)	0.25% (最低佣金 10 美元)	美元
中国 B 股 (深圳) Shenzhen Stock Exchange (SZSE)	0.25% (最低佣金 80 港元)	港币
台湾 Taiwan Stock Exchange (TWSE)	0.20% (最低佣金 400 新台币)	美元
韩国 Korea Exchange (KRX) including KOSDAQ	0.30% (最低佣金 15,000 韩元)	美元
印度尼西亚 Indonesia Stock Exchange (IDX)	0.25% (最低佣金 200,000 印尼盾)	美元

Monex 证券收费明细



马来西亚 Bursa Malaysia (MYX)	0.25% (最低佣金 80 林吉特)	美元
菲律宾 Philippines Stock Exchange (PSE)	0.30% (最低佣金 500 菲律宾比索)	美元
新加坡 Singapore Exchange (SGX)	0.25% (最低佣金 25 新币或 120 港币或 18 美元)	新币
泰国 Stock Exchange of Thailand (SET)	0.30% (最低佣金 300 泰铢)	美元

* 佣金费用可能会不定时变动，具体细节请查看我们的免佣金活动条件与条款。

1. 佣金按同一天每笔订单收取。在进行美股交易时，如果某单交易有部分股票已成交，更改余下股数的价位或买卖数量均会当作另一宗新交易重新计算。
2. 某些证券的交易货币与交收货币不同，在买卖此等证券前，请阁下务必留意有关的外汇风险（包括但不限于汇率波动）及涉及的货币兑换费用。
3. 如果适用的话，还包括商品服务税。

Monex 戶口行政費用

账户开设与维护	
开户费用	免费
开户存款 *1	最低存款金额为 200 澳币
最低资产结余	不设最低资产结余（股票或现金）要求
最低交易次数	不设最低交易次数或最低佣金要求
闲置费	无。所有闲置费于 2018 年 5 月废止。 备注：如果一个账户没有仓位且没有任何交易行为超过 6 年，我们会将该账户中的资金转移到政府部门（NSW Revenue）作为无人认领款项。

Monex 证券收费明细



托管服务	免费 (台湾、马来西亚、沪港通和深港通持股除外)
汇款业务	
存款	免费-所有银行收费由客户承担。
取款	免费-所有银行收费由客户承担。
报表服务	
执行确认	免费-执行确认将通过电子邮件发送。当天的所有合同将分组于每日活动报表中，该报表还可作为当天所有交易的执行确认和合同备注。
对账单	免费 -适用于通过电子邮件发送每日/每月交易报表。12 个月内的电子报表也可以在线下载。

Monex 证券收费明细



重新签发表	每份报表 10 澳元 *适用于重新签发 12 个月以上的历史每日/每月交易报表。
库存余额或对账确认	每次申请收取 10.00 澳元

1. 商品及服务税适用于澳大利亚居民同存款及任何其他服务费相关的交易费

股票提存服务

市场	证券托管	证券存入	证券转出 *1	其他费用
美国 New York Stock Exchange (NYSE) NASDAQ	免费	每项股票 50 美元起	2% (最低 2,000 美元)	美国预托证券存仓费用: 每年 每年每股 0.03 美元
澳大利亚 Australian Stock Exchange (ASX)	免费	每项股票 50 澳元起	2% (最低 2,000 美元)	任何其他费用将按成本收取

Monex 证券收费明细



日本 Tokyo Stock Exchange (TSE)	免费	每项股票 4,000 日元起	2% (最低 2,000 美元)	
香港 Hong Kong Stock Exchange (HKEX)	免费	每项股票 600 港币起	2% (最低 2,000 美元)	强制股票回购费： 成本价
中国 沪港通 深港通	投资组合总市值之年利率 0.018% (以每日计算，按月结)	每项股票 250 人民币起	2% (最低 2,000 美元)	
中国 B 股 沪港通 (SSE) 深港通 (SZSE)	免费			
台湾 Taiwan Stock Exchange (TWSE)	每年 0.12% (按月收费，根据每月月尾投资组合的市价计算)			
韩国 Korea Exchange	免费			

Monex 证券收费明细



(KRX) including KOSDAQ				
印度尼西亚 Indonesia Stock Exchange (IDX)	免费	每项股票 500,000 印尼盾起	2% (最低 2,000 美元)	
马来西亚 Bursa Malaysia (MYX)	每月每项股票 3.00 美元	每项股票 200 林吉特起		
菲律宾 Philippines Stock Exchange (PSE)	免费	每项股票 2,000 比索起	2% (最低 2,000 美元)	
新加坡 Singapore Exchange (SGX)	免费	每项股票 50 新币起	2% (最低 2,000 美元)	
泰国 Stock Exchange of Thailand (SET)	免费	每项股票 1,400 泰铢起	2% (最低 2,000 美元)	

1. 股票转出费为 2,000 美元和股票价值 2% 的等值美元中的较高者，股票价值的是使用 Monex AU 在处理转股时前一个交易日的收盘价计算的。

- 对于澳大利亚居民，所有关于澳大利亚市场的服务费都要加上商品及服务税

Monex 证券收费明细



- 商品及服务税不适用于非澳大利亚居民

代理人服务

市场	代收股息（现金和临时凭证）	股息预扣税*1	其他企业行动
美国 New York Stock Exchange (NYSE) NASDAQ	4 美元	30%	股权收购：60 美元（自愿性） 或 30 美元（强制性）
澳大利亚 Australian Stock Exchange (ASX)	7 澳元	30%	任何其他费用将按成本收取
日本 Tokyo Stock Exchange (TSE)	800 日圆	15.315%	
香港 Hong Kong Stock Exchange (HKEX)	0.60% (最低 50 港元或 20 美元 或 50 元人民币或 12 英镑)	NIL	请参照下页的单独表格

Monex 证券收费明细



中国 沪股通 深港通	0.60% (最低收费 50 元人民币)	10%	任何公开收购/售股及自动/强制行使权证/认股证：100 人民币
中国 B 股 (上海)	8 美元	10%	
中国 B 股 (深圳)	80 港币	10%	
台湾 Taiwan Stock Exchange (TWSE)	250 新台币	20%	代收红股：1500 新台币
韩国 Korea Exchange (KRX) including KOSDAQ	8000 韩元	22%	
印度尼西亚 Indonesia Stock Exchange (IDX)	75000 印尼盾	20%	
马来西亚 Bursa Malaysia (MYX)	1.35% (最低收费 20 马币)	25%	
菲律宾 Philippines Stock Exchange (PSE)	手续费: 400 比索 登记费用: 1200 比索	30%	代收红股：1000 比索 (登记费用)

Monex 证券收费明细

新加坡 Singapore Exchange (SGX)	1.35% (最低收费 12 新币)	20%	
泰国 Stock Exchange of Thailand (SET)	1.35% (最低收费 350 泰铢)	10%	

- 股息预扣税由各自的地方政府按照适用于我们在香港的托管人 Monex Boom 证券有限公司的税率收取。这可能不是您所在国家对居民通常所收预扣税的税率。您应该寻求针对自身的专业税务建议，以确定对您所产生的影响。以上税率仅供参考。
 - 商品及服务税适用于澳大利亚居民，附加在澳大利亚市场相关的所有服务费
 - 商品及服务税不适用于非澳大利亚居民

其他企业行动-香港市场

详情	手续费	其他转移费用
截止过户费		中央結算截止過戶費：每手 1.5 港币

Monex 证券收费明细



代收红股	免费	
代收供股权	免费	
申请额外供股权费	免费	
认购供股权和认股权证	150 港币	中央结算费: 每手 0.8 港币
自动行使认股权证	150 港币	中央结算费: 每手 0.8 港币
公开发售	150 港币	中央结算费: 每手 0.8 港币
现金收购	150 港币	中央结算费: 每手 0.8 港币 印花税: 每 1000 港币收取 1.00 港币 (如适用)
股份合并/ 拆分	免费	
中央結算境外股票存倉費		中央结算费: 每手 0.25 港币
通脹掛鈎債券 (iBond) 託管股票費	免费	
通脹掛鈎債券 (iBond) 代收利息費	免费	

Monex 证券收费明细



通脹掛鈎債券 (iBond) 贖回費	免費	
在股份登記處辦理股份轉名	800 港币 (每次登記每只股票)	成本价

其他费用

市场	其他费用
美国 New York Stock Exchange (NYSE) NASDAQ	仅适用于卖出股票 销售税: 0.00207% 交易费: 每股 0.000119 美元 仅适用于买入法国和意大利公司在美国的的预托证券 金融交易税: 0.20%
澳大利亚 Australian Stock Exchange (ASX)	nil

Monex 证券收费明细



日本 Tokyo Stock Exchange (TSE)	nil
香港 Hong Kong Stock Exchange (HKEX)	印花税*1: 每 1000 港币收 1.00 港币 交易征税: 0.0027% 交易费: 0.0050% 股份交收费: 0.01% (每笔最低为 3.00 港币 或 0.38 美元或 3.00 元人民币)
中国 沪港通 深港通	经手费 : 0.00487% 证管费: 0.002% 过户费: 0.004% (总额) 印花税: 0.10% (仅适用于卖出股票)
中国 B 股 (上海) Shanghai Stock Exchange (SSE)	服务费: 0.15% (最低为 35 美元) 结算费用: 0.05% 交易征税: 0.00487% 中国证监会费: 0.002% 印花税: 0.10% (仅适用于卖出股票)
中国 B 股 (深圳) Shenzhen Stock Exchange (SZSE)	服务费: 0.15% (最低为 150 港币) 结算费用: 0.05% (最高为 500 港币)

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	交易征税: 0.00687% 印花税: 0.10% (仅适用于卖出股票)
台湾 Taiwan Stock Exchange (TWSE)	交易征税: 1,500 新台币 电汇费用: 800 新台币 销售税: 0.30% (仅适用于卖出股票)
韩国 Korea Exchange (KRX) including KOSDAQ	汇费: 30,000 韩元 销售税: 0.25% 交易单位; 0.50% 碎股 (仅针对卖盘)
印度尼西亚 Indonesia Stock Exchange (IDX)	交易征税: 0.043% 增值税: 10% (按交易佣金计算) 汇费: 200,000 印尼盾 销售税: 0.10% (仅适用于卖出股票)
马来西亚 Bursa Malaysia (MYX)	印花税: 0.10% ((调高至最接近整数计, 最高收费 200 马币)) 结算费用: 0.03% (最高为 1,000 林吉特) 电汇费用: 75 马币 服务税: 股票佣金的 6%
菲律宾 Philippines Stock Exchange (PSE)	增值税: 12%抽取佣金 股份交收费: 0.01%

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	电汇费用: 1,000 菲律宾比索 登记费: 1,000 菲律宾比索 销售税: 0.60% (仅适用于卖出股票)
新加坡 Singapore Exchange (SGX)	交易费: 0.0075% 结算费用: 0.0325% 电汇费用: 0 新币 20 美元 0 港币 交割费用: 0.35 新币每笔交易
泰国 Stock Exchange of Thailand (SET)	增值税: 0.014% (按交易价值计算) 电汇费用: 1,000 泰铢

1. 交易香港证券交易所的股票需要收取印花税，比率为交易价值的 0.10%，四舍五入至最接近的整数港元。
2. 如果您想交易 US OTC 市场的股票，请发邮件联系我们 services@monexsecurities.com.au, 并同意以下风险提示：
 - 我们对 OTC 市场中流动性差的股票有交易限制。如果您想交易 OTC 市场的股票，当交易数量超过了该股票平均每日成交量的一定百分比时，您的订单可能被拒绝（不接受）。如果您购买的股票成交量很低，您可能将无法卖出。
 - 无法通过存管信托公司清算（Non-DTC eligible）。有些股票无法通过存管信托公司（DTC）清算，这将会涉及额外的费用。我们不能保证您所提及的股票是否能够通过存管信托公司（DTC）清算。有可能您买了股票后，这只股票变为无法通过存管信托公司（DTC）清算的股票（您需要交纳额外的清算费用）。不通过存管信托公司（DTC）清算的费用当前为 400 美元。

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任何其他费用将按成本收取

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